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PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior Application Serial No: 08/417,854  
Filed: April 7, 1995  
Examiner: N. NGUYEN  
Group: 1109  
Batch No: M49

Assistant Commissioner for Patents  
Washington, D.C. 20231

July 29, 1997

Sir:

This is a request for filing a divisional application under 37 C.F.R. 1.60 of pending prior application Serial No. 08/417,854, filed April 7, 1995, of Pius GRUENENFELDER, Hans HIRSCHER, Urs SCHWENDENER and Walter HAAG, for MAGNETRON ATOMIZATION SOURCE AND METHOD OF USE THEREOF.

- X   1. Accompanying this order is a verified true copy of the prior application as originally filed with executed Declaration and Power of Attorney.
- 2. Please prepare a copy of the prior application.
- X   3. Cancel original Claims 1-33.
- X   4. The filing fee is calculated below:

CLAIMS AS FILED, INCLUDING ANY CLAIMS  
CANCELLED OR ADDED BY PRELIMINARY AMENDMENT

Basic Fee				\$385		\$770
Total Claims	10	-	20	=	0	x 11 = \$
Ind. Claims	3	-	3	=	0	x 40 = \$
Multiple Dependent Claims						
				+ 130	= \$	+ 260 = \$
				Total	\$	Total \$770

- 5. Please charge my Deposit Account No. 05-1323 (Docket #) in the amount of \$\_.  
  
204-192

0502334-072997  
165240 RECEIVED

- X 6. A check in the amount of \$770.00 to cover the filing fee is enclosed.
- X 7. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 05-1323 (Docket #622/42052DV).
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X 8. The Commissioner is hereby authorized to charge payment of the following fees during pendency of this application or credit any overpayment to Deposit Account No. 05-1323 (Docket #622/42052DV).
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- X 9. Amend the specification by inserting before the first line the sentence: --This is a divisional of application Serial No. 08/417,854, filed April 7, 1995.--
10. A verified statement of small entity status (copy attached) was filed on \_\_\_\_\_, in the prior application and status as a small entity is still proper and desired.
11. New formal drawings are being filed herewith, consisting of \_\_\_\_\_ sheets(s), depicting Figures \_\_\_\_\_.
- X 12. Priority of Application No. 94105388.6, filed in the European Patent Office on April 7, 1994, is hereby claimed under 35 U.S.C. 119.
- X 13. A certified copy of each said priority document was filed in application Serial No. 08/417,854, on April 7, 1995.
- X 14. The prior application is assigned to Balzers Aktiengesellschaft (copy attached).

- X   15. The power of attorney in the prior application is to:

Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169;

  X   a. The power appears in the original application papers in the prior application.

       b. Since the power does not appear in the original application papers, a copy of the power in the prior application is enclosed.

       c. Attached is a duplicate of a Supplemental Declaration which was filed in the prior application to overcome informalities.

  X   d. Address all future correspondence to:

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& LENAHAN, P.L.L.C.  
1200 G Street, N.W.  
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Washington, DC 20005-3814

- X   16. Form PTO-892 and PTO-1449 listing prior art made of record in the prior application is attached. A copy of each of the listed references should be available in the prior application file.

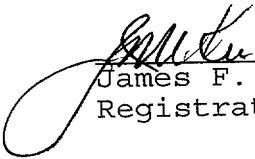
- X   17. A Preliminary Amendment is being filed herewith.

- X   18. I hereby verify that the accompanying application papers are a true copy of prior application Serial No. 08/417,854, as originally filed on April 7, 1995.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or

imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Pius GRUENENFELDER et al.  
Serial No.: Not Yet Assigned  
Filed: July 29, 1997  
Title: MAGNETRON ATOMIZATION SOURCE AND METHOD OF USE  
THEREOF

PRELIMINARY AMENDMENT

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

July 29, 1997

Sir:

The following amendments are submitted preliminary to an action on the merits.

In The Claims

Please delete Claims 1-33 and substitute the following claims therefor:

--34. Circular target body comprising

a surface to be sputtered off symmetrically tapered from the periphery of said body towards the center of said body and comprising a surface steadily bent as considered in a cutting surface containing the symmetry axis of said body;

the back-side of said body being formed by a flat annular outer surface and an inwardly recessed center surface;

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the amount of taper measured from a plane on the periphery of said body along said axis and on to the bottom of said surface to be sputtered being

$$0.22 r_1 \leq d_0 \leq 0.38 r_1,$$

wherein:  $r_1$  is the radius of the circular target body  
 $d_0$  is said amount of taper.

35. The target body according to claim 34 wherein there is valid

$$50 \text{ mm} \leq 2 r_1 \leq 150 \text{ mm}$$

36. The body according to claim 35 wherein there is valid

$$75 \text{ mm} \leq 2 r_1 \leq 150 \text{ mm}$$

37. The body according to claim 34 wherein the thickness of the body along periphery,  $d_a$  is

$$d_a \approx 0.5 r_1$$

38. A receiving ring for receiving a circular disc to be sputter-coated in a sputtering chamber comprising:

a central circular opening with a diameter ( $\phi_{13}$ ) - adjacent to said opening a first ring segment with a radial width ( $\Delta$ ) and being tapered by an amount (a)

radially outwards from said first ring segment a second ring segment being flat substantially along a plane perpendicularly to the symmetry axis of said receiving ring through said opening;

wherein there is valid:

$$\Delta \leq 20\% \phi_{13}$$





$0 \leq a \leq 40\% d_{113}$

and thereby especially preferred

$a \approx 30\% d_{113}.$

43. The set of claim 42 wherein the surface area of said tapered ring segment of said receiving ring is smaller than 30% of the surface of said target body to be sputtered.--

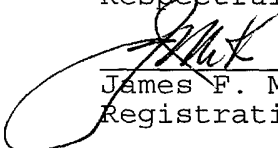
REMARKS

Entry of the amendments to the specification and to the claims before examination of the application is respectfully requested.

If there are any questions regarding this Preliminary Amendment or this application in general, a telephone call to the undersigned would be appreciated since this should expedite the prosecution of the application for all concerned.

If necessary to effect a timely response, this paper should be considered as a petition for an Extension of Time sufficient to effect a timely response, and please charge any deficiency in fees or credit any overpayments to Deposit Account No. 05-1323 (Docket #622/42052).

Respectfully submitted,

  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SPECIFICATION

**INVENTION:** MAGNETRON ATOMIZATION SOURCE AND  
METHOD OF USE THEREOF

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# MAGNETRON ATOMIZATION SOURCE AND METHOD OF USE THEREOF

## BACKGROUND AND SUMMARY OF THE INVENTION

5 The present invention relates to a magnetron atomization source having a target body with a mirror-symmetrical, concavely constructed atomization surface with respect to at least one plane, a magnetic circuit arrangement operable to generate a magnetic field over the atomization surface, including an anode arrangement, a receiving frame which extends around an edge of the target body and is electrically insulated with respect thereto, which receiving frame has a receiving opening for at least one workpiece to be coated, and on the side of the source, a process space bounded essentially by the atomization surface of the target body and a surrounding non-atomized residual interior surface of the receiving frame. Moreover, the present invention relates to a method of use thereof in which storage disks, such as CDs, are provided with an atomization coating.

20 Magnetron atomization sources are generally described in DE-OS-24 31 832; EP-A-0 330 445; EP-A-0 311 697; U.S. Patent No. 5,164,063; and DE-PS-40 18 914.

DE-PS-35 06 227 describes an improved magnetron atomization source which has one or two target bodies forming a centrically mirror-symmetrically concavely

constructed atomization surface. A workpiece which, in top view, has a significantly smaller diameter than the diameter of the cup-shaped target body is inserted by way of a holding device for the purpose of atomization coating.

5 Magnetic circuits, which are an arrangement of active elements such as permanent magnets and/or electromagnets, as well as of passive elements such as ferromagnetic elements, for example, of iron yoke parts and air gaps are provided to generate a magnetic field of the type basically  
10 known in the case of magnetrons. The magnetic circuits operate separately for the bottom area of the atomization surface and its arched edge area, respectively.

DE-A-28 24 289 describes the atomization surface of a target body on a magnetron atomization source in a  
15 centrically concave manner and an earth shielding frame in the edge area of the target body. With respect to the target body, the anode is arranged centrically and is cooled by a medium. In this source, a workpiece to be coated is generally arranged above the illustrated source.  
20 That is, the workpiece is arranged above the earth shielding frame which surrounds the edge of the target body.

EP-A-0 393 957 discloses a magnetron atomization source which has a centrically concavely shaped-in  
25 atomization surface of the target body. A workpiece to be atomization-coated is arranged far away from the source.

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In many cases and specifically also in the case of the use of the magnetron atomization surface preferred according to the present invention for the coating of storage disks, for example, of optical storage disks (such as magneto-optical disks), video disks or audio disks (such as compact disks), short coating times with long service lives of the used target bodies must be achieved. This requirement has the effect, among other things, that as a high proportion as possible of the material atomized from the atomization source is deposited as a coating material on the workpiece surface to be coated.

Known atomization sources of the type mentioned above have a disadvantage, however, that, because of, among other things, the large surfaces which are neither an atomization surface of the atomization source nor a surface of a workpiece to be usefully coated, a relatively high percentage of the material atomized from the atomization surface of the target is uselessly deposited on other surfaces defining the process space. This useless deposition drastically reduces the above-mentioned yield, and significantly reduces the coating speed as well as the service life of a target body. As a result, per target body fewer workpieces can be coated with the given layer thickness.

In addition, more cleaning intervals are required in order to ensure operational reliability, and higher

operating power is required to implement desired rates. In turn, thermal stress to the source and to the workpieces is increased. All of the foregoing has a negative effect on the efficiency of a production system.

5           The above-mentioned disadvantages also apply to the magnetron atomization source described in DE-A-42 02 349 which has a centrally concavely constructed target body, a magnetic circuit arrangement which generates a magnetic field above the atomization surface, an anode arrangement, and a receiving frame which surrounds the edge of the target body and is electrically insulated with respect to it and has a receiving opening for a workpiece disk to be coated. In this known source, the process space is defined essentially by the atomization surface of the target body and the interior surface of the receiving frame. During operation, the process space is closed off by the workpiece disk placed on the receiving opening of the receiving frame.

20           Considering the fact that the conventional receiving frame, as an example of a surrounding non-atomized residual interior surface, extends on the outside around the edge of the target body, the length of the cut of the interior frame surface already visible in the cross-section results in a large ring surface which is neither usefully atomized nor usefully coated. It is not significantly smaller than the new atomization surface of the target body but larger

than the surface of the receiving opening. Thus, although a high percentage of the walls bounding the interior surface of the process space are coated, they are not usefully coated, thereby still causing lower efficiency.

5           It is an object of the present invention to eliminate the above-mentioned disadvantages and to improve efficiency. This object has been achieved in a magnetron atomization source in accordance with the present invention by providing that the process space, apart from the  
10           receiving opening for the at least one workpiece, is bounded essentially by the atomization surface, and reducing the surrounding non-atomized residual interior surface to a respective minimum which, during atomization operation, ensures a stable plasma discharge.

15           As a result of the fact that, according to the present invention, the atomization surface of the target body essentially defines the process space, apart from the  
20           workpiece placed during the operation, a significant improvement of the ratio between the atomized-off material quantity and the material quantity deposited as a layer on the workpiece or the workpieces is achieved and results in a significant efficiency increase.

25           Preferred surface ratios are obtained according to the present invention by providing that the relationship of the residual interior surface, such as that of the receiving

ring, and that of the atomization surface of the target body are such the former is less than or equal to 50% of the latter.

#### BRIEF DESCRIPTION OF THE DRAWINGS

5           These and other objects, features and advantages of the present invention will become more readily apparent from the following detailed description when taken in conjunction with the accompanying drawings wherein:

10           Figure 1 is cross-sectional schematic view of a currently preferred embodiment of magnetron atomization source according to the present invention; and

            Figure 2 is a view similar to Fig. 1 but supplemented with magnetic circuits.

#### DETAILED DESCRIPTION OF THE DRAWINGS

15           Referring now to Fig. 1, the magnetron atomization source according to the present invention comprises a target body 1 having, in a new condition, a conical atomization surface 3a, or a concave mirror shape 3b, preferably in the shape of a calotte shell, so that,  
20           already in its new condition with an atomization surface  $F_1$ , the target body 1 is significantly thicker at the edge than in the center. Although in the illustrated preferred

embodiment the target 1 is circular in top view (not shown) and therefore its concave shaped-in portion is rotationally symmetrical, the target body 1 and the following constructional elements of the source to be described later may, for certain uses, be constructed to be symmetrical with respect to a single plane or to two planes. The target body 1 and therefore the additional construction elements of the source preferably define a rectangular magnetron source or an elliptical or generally oval or, in the illustrated, preferred embodiment, a circular magnetron source.

In the illustrated circular magnetron source, the maximal thickness,  $d_1$ , of the target body 1 on its edge amounts to approximately 50% of the target radius  $r_1$ . The target body 1 is embedded in a ferromagnetic cup-shaped magnetic circuit housing 4 which defines a cup-shaped magnet receiving space 5 which encloses the target body 1 on the base side and on its upward-projecting lateral surfaces. A surrounding receiving frame 9 is provided along the edge of the target body 1 and of the circular-ring-shaped end face 7 of the magnetic circuit housing 4 and is electrically insulated from the target body 1 as well as the housing 4 at a dark space distance. The interior surface  $F_9$  of the receiving frame 9 projects in a sloped manner from the edge of the target body 1 against the center axis A of the source inward and defines a receiving opening 11 for a circular workpiece disk 13. In

the illustrated embodiment, the ratio of the surface  $F_0$  to the new atomization surface  $F_1$  is:

$$F_0 \leq 30\% F_1.$$

5 The circular workpiece disk 13 is preferably a dielectric or metallic workpiece disk, preferably the body of a storage disk to be coated, such as a magneto-optical storage disk, a video disk, an audio disk such as a compact disk or CD. The periphery of the disk 13 rests at the edge of the receiving opening 11 on the receiving frame 9 which, particularly in the case of CD processing, forms the peripheral masking element in order to prevent an atomization coating at the edge of the disk and to obtain a transition which is as sharp as possible from the coated surface to the uncoated edge.

10 15 The center of the target body 1 can be penetrated by a core 15, which is electrically insulated with respect thereto which, however, is not necessary for implementing the source according to the present invention. For CD-coating and for coating most other optical storage disks, 20 the core 15 extends to the level of the receiving opening plane E in order to mask the center of the workpiece disk 13. If unnecessary for masking or the like, the core 15, as indicated by the end surface 17, may be reduced in height or eliminated completely.

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The target body 1 is placed by the magnetic circuit housing 4 on a negative cathodic potential. As indicated schematically by the selection units 23a, 23b, the core 15 as well as the receiving ring 9 can be applied to the anode, such as the ground potential  $\Phi_0$  or to adjustable or fixedly given other reference potentials  $\Phi_v$  or can each be operated in a floating manner. Preferably, the core 15 and the ring 9 are applied to anodic potentials.

As illustrated, for example, by the insulation ring 9c, two or more parts 9a, 9b can constitute the receiving frame 9 and optionally be operated electrically in different ways. For example, an anodic potential can be applied to part 9a, and part 9b can be operated in a floating manner.

In the case of a circular workpiece disk 13 with a radius  $r_{13}$ , corresponding to a diameter  $\phi_{13} = 2r_{13}$ , the following dimensioning of the maximal distance of the new atomization surface to the disk surface  $d_{113}$  to be coated has proven to be excellent:

$$d_{113} \geq 20\% \phi_{13},$$

wherein the distance  $d_{113}$ , particularly in the case of normal pressure conditions of  $10^{-3}$  to  $10^{-1}$  mbar during atomization coating, should not significantly fall below 25 mm.

Furthermore, the following dimensioning will preferably apply: generally,  $d_{113} \leq 50\% \phi_{13}$ , but preferably:  $d_{113} \leq 42\% \phi_{13}$ , and, most preferably,  $d_{113} \leq 35\% \phi_{13}$ .

5 The foregoing dimensioning is particularly true for the processing of circular-disk-shaped workpieces of diameters of from 50 mm to 150 mm, particularly 75 mm to 150 mm. If, however, the workpieces to be coated are not of circular-disk-shape but, for example, are oval or rectangular, the indicated dimensioning directions with  
10 apply relative to the smallest diameter  $\phi_k$  of the respective workpiece. Furthermore, it has been found to be preferable, particularly for CD-coating according to Fig. 1, that the target body radius  $r_1$  is 30% to 40% larger than the radius  $r_{13}$  of the workpiece disk 13 to be coated.

15 The interior surface  $F_1$  of the receiving ring 9 which is minimized in a sloped manner and which is neither usefully atomized nor usefully coated and, with respect to plasma technology, ensures the discharge stability in the edge area between the disk periphery and the target body  
20 edge, is preferably configured according to the following dimensioning directions:

The distance  $\Delta$ , which is perpendicular with respect to the axis A or generally with respect to a plane of symmetry  $E_s$  and is bridged or spanned by the surface  $F_1$ , amounts,  
25 relative to the diameter  $\phi_{13}$  of a circular disk 13 or, more

generally, with respect to the smallest diameter  $\Phi_k$  of a non-circular disk, as defined above, to, generally  $\Delta \leq 20\% \phi_{13}$ , but preferably to  $\Delta \leq 10\% \phi_{13}$ , and currently is most preferably dimensioned at  $\Delta \approx 15\% \phi_{13}$ .

5 It is also definitely possible to select the distance  $\Delta$  to be zero. That is, the interior surface  $F_i$  is configured to have only components parallel to the axis A or the plane  $E_s$ .

10 The distance, a, bridged or spanned by the interior surface  $F_i$ , parallel to the axis A or the plane  $E_s$ , irrespective of whether  $\Delta$  is or is not larger than zero, and relative to the distance  $d_{113}$  between the new atomization surface center and the disk surface to be coated, amounts to, generally,  $0 \leq a \leq 50\% d_{113}$ , but preferably to  $0 \leq a \leq 40\% d_{113}$ , and is currently most preferably dimensioned at a  
15  $\approx 30\% d_{113}$ .

Furthermore, a system-side flange 25 is provided for mounting the source according to the present invention. An electric insulation 29 is provided between a source housing 27 with the flange 25 and the magnetic circuit / target  
20 body arrangement comprising the housing 4 and the target body 1. In addition, as illustrated schematically, the centric core 15 is medium-cooled, preferably water-cooled, by way of a pipe system 31. The cooling of the receiving frame 9 takes place by way of the flange 25.

5 The cathode/anode discharging distance may be operated by an AC- and DC-mixed supply, for example, by a timed DC, or may be operated only by DC. Layers may be deposited reactively or non-reactively, preferably from electrically conducting target body material. As also illustrated diagrammatically by the pipe system 33, the magnetic circuit housing 4 and the target body 1 are medium-cooled, preferably water-cooled.

10 A working gas (for non-reactive atomization coating, this gas may be a noble gas or for reactive atomization coating, this gas may be a noble gas with a reactive gas, the latter reacting with the material atomized from the target body 1, and the coating taking place by a reaction product) is preferably discharged by a schematically  
15 illustrated pipe system 35 in the core 15 via outlet openings 37 into the process space as shown by the radially directed arrows.

20 In Fig. 2, which for reasons of clarity is the same representation as Fig. 1, a currently preferably implementing magnetic circuit is entered in the housing 4. A ring of permanent magnets 40 is arranged in the area of the face 7 of the ferromagnetic housing 4. In the center and approximately on half the radius,  $r_1$ , of the atomization surface, additional permanent magnet rings 42 and 41 are  
25 provided.

As indicated, the polarities of the ring magnets are selected such that, qualitatively, the magnetic field B illustrated in Fig. 2 is created above the atomization surface and essentially, as the atomization increases, maintains the same strength and the same direction with respect to the momentary atomization surface. Naturally, it is also easily possible, for example, by way of a mechanical following of the magnet ring 40 and/or 41, to cause the magnetic field during the atomization to follow the respective momentary atomization surface in an optimized manner. The face 7 is covered by a layer 8 of a non-ferromagnetic material (for example, an insulating material), such as a high-temperature resistant and vacuum-suitable plastic material. This layer 8 is, on the side, disposed against the dark space 8a and, on the other side, above the one magnetic pole N. As a result, parasitic plasma discharges and flashovers, which may be triggered in the dark space by strong magnetic fields, are prevented.

With the magnetic field B constructed according to the present invention, it is also ensured that, also at the edge of the target body 1, an atomization removal takes place as much as possible to largely prevent an atomization accumulation there. Also, in the center of the target body 1, the zone in which no atomization removal takes place, also the amount of the atomized-on material is minimized.

5 The active elements 40, 41, 41 provided in the hollow space 5 and the non-active elements, such as the iron housing 4 and the geometrical arrangement with its air gaps 44, contribute significantly to the construction of the magnetic field B of Fig. 2.

10 Because the receiving frame 9 shown in Fig. 1 can be electrically operated arbitrarily within wide ranges, and a center masking can be implemented also by a center mask 50, without providing a core 15, it is now possible with certainty to bring the frame 9 implementing the edge masking in a changed construction and/or the center mask 50, as explained in detail in German Patent Application 42 35 678, together with the workpiece disk in the respective coating position.

15 With a magnetron sputter source implemented as shown in the example, having a target diameter of 160 mm, a substrate diameter of 120 mm, a target/substrate distance  $d_{113}$  of 35 mm, a new atomization surface shape: concave, spherical shell with  $r = 80$  mm, a power supply of 15 kW, 20 permanent magnets made of neodymium, ferrite, a pressure of approx.  $10^{-2}$  mbar, and a target material of Al (Mg, Si, Mn) service lives of 80,000 coated CDs were achieved, corresponding to 220 kWh.

25 The specific coating rate, defined as the coating rate per power unit (kW), in the case of the new atomization

surface, amounted to 7 nm/kWs and, at the end of the service life, was still 4.5 nm/kWs. The coating was carried out with a thickness of 55 nm. Here, 52% of the material atomized off the target body arrived as coating material on the workpiece disks. The outer edge zone of the target body, which was not atomized off, amounted to 3 mm. In the center, this zone amounted to less than 1 mm, usually 0 mm. In these zones, the maximal atomization quantity during the target life or service life amounted to approximately 500  $\mu\text{m}$ .

Thus, with the magnetron source according to the present invention, a high average coating rate is achieved with long service lives while the surface coating is perfectly uniform and has high efficiency, whether defined as a coating quantity per atomized material quantity or as a layer quantity per electric energy unit, in which case all process parameters can be maintained essentially constant during the service life of the target body. The specific coating rate was increased virtually to a factor of 2 compared with known sources.

Although the invention has been described and illustrated in detail, it is to be clearly understood that the same is by way of illustration and example, and is not to be taken by way of limitation. The spirit and scope of the present invention are to be limited only by the terms of the appended claims.

WE CLAIM:

1. A magnetron atomization source, comprising a target body having an atomization surface which is mirror-symmetrically concavely constructed with respect to at least one plane, a magnetic circuit arrangement operable to generate a magnetic field over the atomization surface, including an anode arrangement, a receiving frame which extends around an edge of the target body and is electrically insulated with respect thereto, which receiving frame has a receiving opening for at least one workpiece to be coated, and, on the side of the source, a process space bounded substantially by the atomization surface of the target body and a surrounding non-atomized residual interior surface of the receiving frame, except for the receiving opening for the at least one workpiece, wherein the surrounding non-atomized residual interior surface is minimized so that, during an atomizing operation, a stable plasma discharge is ensured.

2. The source according to claim 1, wherein the residual interior surface,  $F_2$ , and the atomization surface,  $F_1$ , of the target body, are related such that  $F_2 \leq 50\% F_1$ .

3. The source according to claim 2, wherein  $F_2 \leq 40\% F_1$ .

4. The source according to claim 2, wherein  $F_s \leq 30\%$   
 $F_1$ .

5. The source according to Claim 1, wherein the magnetic circuit arrangement is configured to be switchable to an electric potential of the target body.

6. The source according to claim 1, wherein the atomization surface in a new state is constructed as one of a paraboloid and spherical surface with respect to the receiving opening.

7. The source according to claim 1, wherein the atomization surface is one of circular, oval and rectangular in plan view.

8. The source according to claim 1, wherein the magnetic circuit arrangement is configured to maintain the atomization surface in a concave, continuously curved construction, during the atomizing operation.

5 9. The source according to claim 1, wherein the magnetic circuit arrangement is constructed such that a directional characteristic with respect to atomized-off particles of the atomization surface with respect to the receiving opening is essentially maintained during the atomizing operation.

10. The source according to claim 1, wherein, for coating a workpiece disk having a center to be covered, a masking core projects centrally through the target body to a level of the receiving opening.

11. The source according to claim 1, wherein gas outlets are arranged centrally with respect to the atomization surface to supply a process gas.

12. The source according to claim 1, wherein a distance between the atomization surface in a new state and a plane of the receiving opening with respect to a diameter,  $\Phi_k$ , of the receiving opening is  $20\% \Phi_k \leq d_{113}$ .

13. The source according to claim 1, wherein the distance between the atomization surface in a new state and a plane of the receiving opening with respect to the diameter,  $\Phi_k$ , of the receiving opening is  $d_{113} \leq 50\% \Phi_k$ .

14. The source according to claim 13, wherein  $d_{113} \leq 42\% \Phi_k$ .

15. The source according to claim 13, wherein  $d_{113} \leq 35\% \Phi_k$ .

16. The source according to claim 1, wherein the distance between the atomization surface in a new state and a plane of the receiving opening is at least 25 mm.

17. The source according to claim 16, wherein the distance is between 30 mm and 55 mm.

18. The source according to claim 16, wherein the distance is between 30 mm and 35 mm.

19. The source according to claim 12, wherein the receiving opening is circular and has a diameter of between 50 mm to 150 mm.

20. The source according to claim 12, wherein the diameter is between 75 mm to 150 mm.

21. The source according to claim 1, wherein a diameter of the atomization surface is between 30% and 40% larger than a diameter of the receiving opening.

22. The source according to claim 1, wherein, for circular workpiece disks, the receiving frame is parallel to a plane of the receiving opening and has a width,  $\Delta$ , of  $0 \leq \Delta \leq 10\% \phi_k$ , wherein  $\phi_k$  is the smallest workpiece diameter.

23. The source according to claim 22, wherein the width is  $0 \leq \Delta \leq 20\% \phi_k$ .

24. The source according to claim 22, wherein the width is approximately 15%  $\phi_k$ .

25. The source according to claim 1, wherein the residual interior surface of the receiving frame perpendicular to a plane of the receiving opening has a depth,  $a$ , which, with respect to a maximal distance,  $d_{113}$ , between the atomization surface and the opening plane of the receiving opening, is dimensioned as  $a \leq 50\% d_{113}$ .

26. The source according to claim 25, wherein  $a \leq 40\% d_{113}$ .

27. The source according to claim 25, wherein  $a \approx 30\% d_{113}$ .

28. The source according to claim 1, wherein at least a portion of the receiving frame is one of applied to a reference potential and is operated in a floating manner.

29. The source according to claim 28, wherein the reference potential is variable.

30. The source according to claim 28, wherein the reference potential is anodic.

31. The source according to claim 1, wherein a coating rate during service life of the target body decreases by less than 50% of an initial rate.

32. The source according to claim 1, wherein an electric insulation is provided at least one high magnetic field intensity location to prevent discharges.

33. A method of using an atomization source comprising a target body having an atomization surface which is mirror-symmetrically concavely constructed with respect to at least one plane, a magnetic circuit arrangement operable to generate a magnetic field over the atomization surface, including an anode arrangement, a receiving frame which extends around an edge of the target body and is electrically insulated with respect thereto, which receiving frame has a receiving opening for at least one workpiece to be coated, and on the side of the source, a process space bounded substantially by the atomization surface of the target body and a surrounding non-atomized residual interior surface of the receiving frame, except for the receiving opening for the at least one workpiece, wherein the surrounding non-atomized residual interior surface is minimized so that, during an atomizing operation, a stable plasma discharge is ensured, comprising the step of providing storage disks with atomization coating.

ABSTRACT:

For optimizing the yield of atomized-off material on a magnetron atomization source, a process space, on the source side, is predominantly walled by the atomization surface of the target body. The magnetron atomization source has a target body with a mirror-symmetrical, concavely constructed atomization surface with respect to at least one plane and a magnetic circuit arrangement operable to generate a magnetic field over the atomization surface. The magnetic circuit arrangement includes an anode arrangement, a receiving frame which extends around an edge of the target body and is electrically insulated with respect thereto. The receiving frame has a receiving opening for at least one workpiece to be coated. The magnetron source can be used to provide storage disks, such as CDs, with an atomization coating.

FIG.1

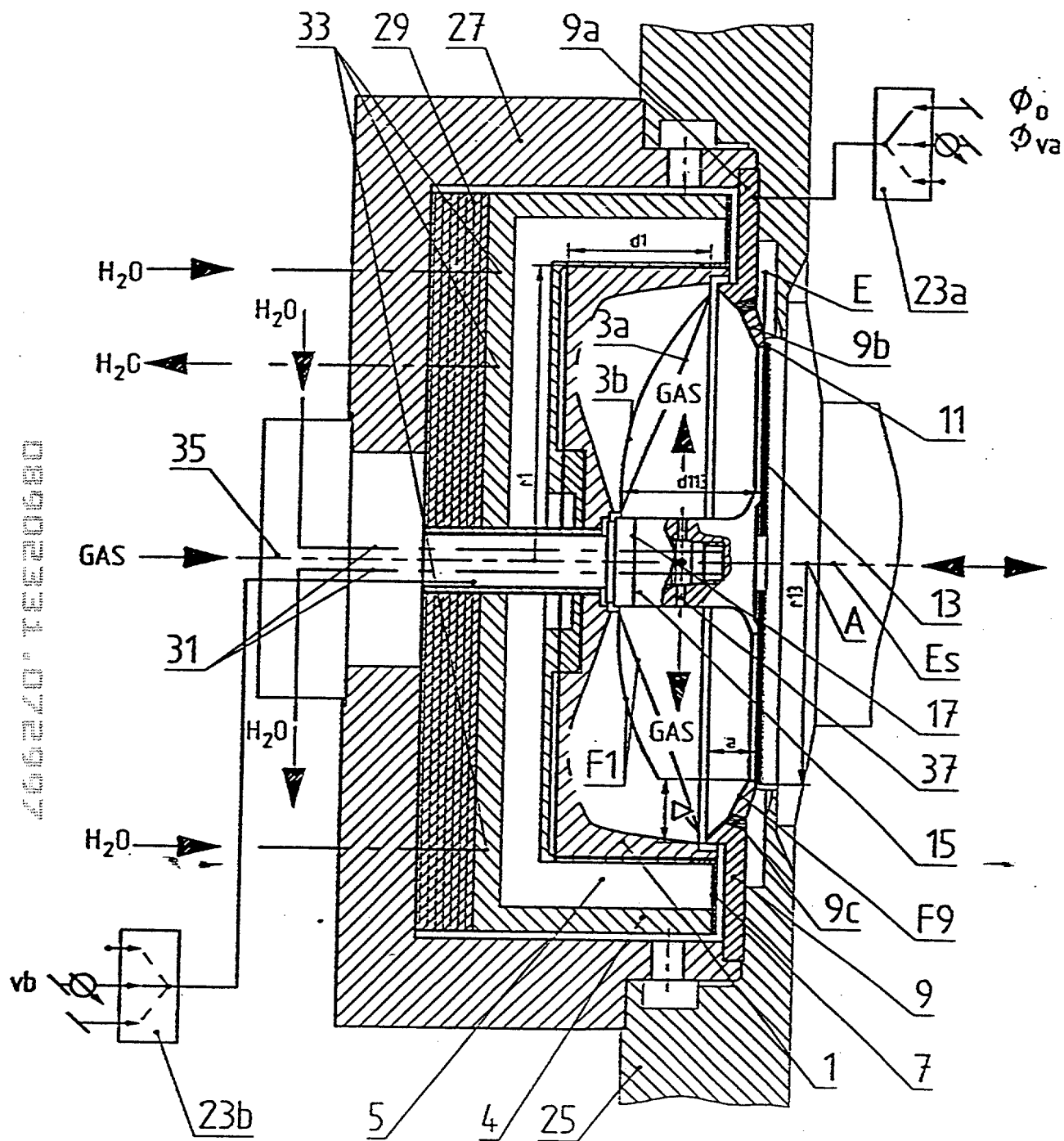
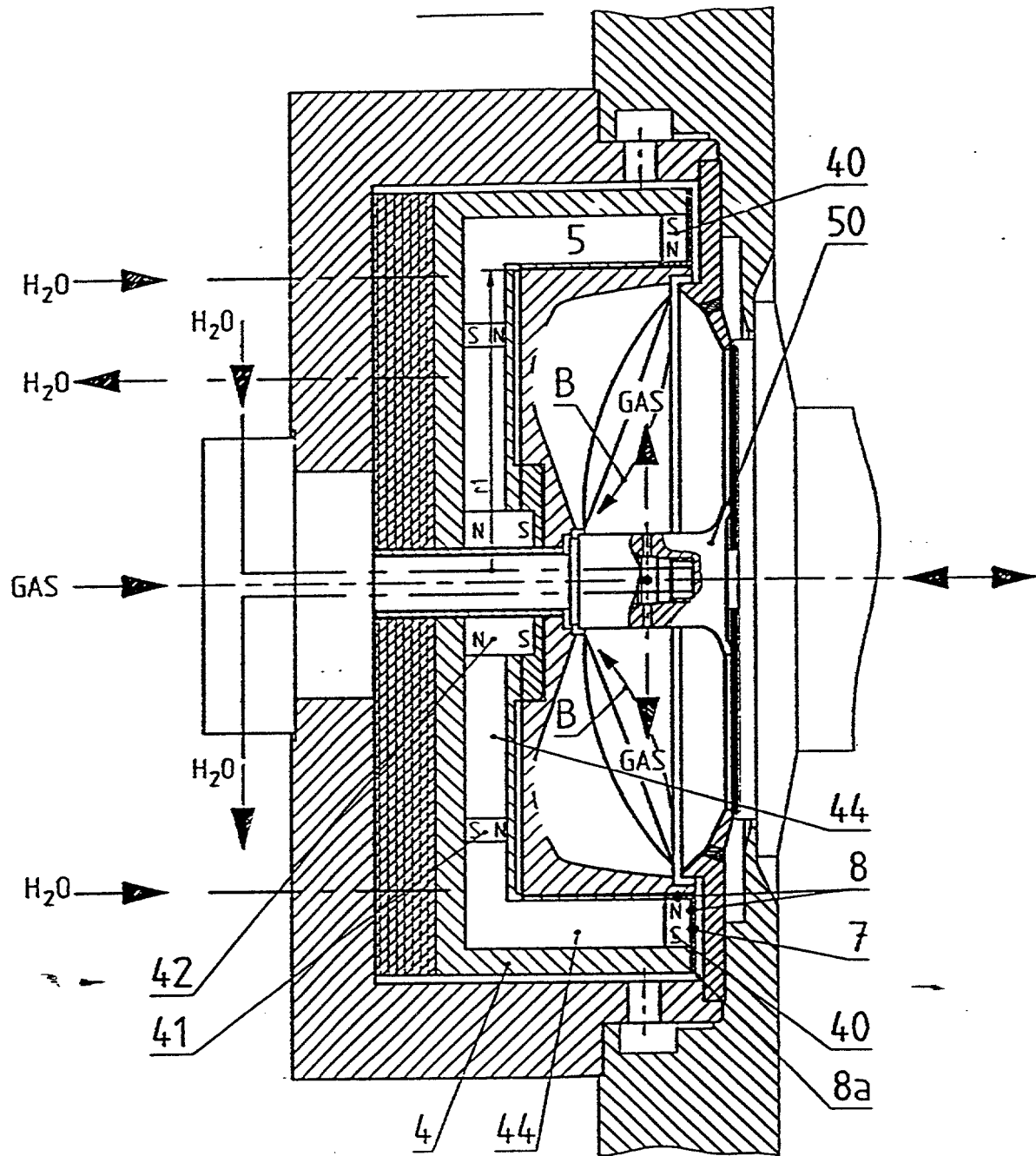


FIG. 2



DECLARATION AND POWER OF ATTORNEY - PATENT APPLICATION

As a below named inventor, I hereby declare that my citizenship, postal address and residence are as stated below; that I verily believe I am the original, first and sole inventor (if only one inventor is named below) or a joint inventor (if plural inventors are named below) of the invention entitled:

**MAGNETRON ATOMIZATION SOURCE AND METHOD OF USE THEREOF**

the specification of which

  X   is attached hereto, or  
\_\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to be material to patentability as defined in 37 CFR §1.56. I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>94105388.6</u>	<u>EPO</u>	<u>7 April 1994</u>	<u>Yes</u>
(Number)	(Country)	(Day/Month/Year)	
_____	_____	_____	_____
(Number)	(Country)	(Day/Month/Year)	

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information known to be material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)

I hereby appoint as principal attorneys Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169, to prosecute and transact all business in the Patent and Trademark Office connected with this application and any related United States and international applications. Please direct all communications to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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